

- 1 1. (Currently Amended) An apparatus comprising:  
2 at least one processor;  
3 a memory coupled to the at least one processor;  
4 a plurality of object oriented classes residing in the memory, at least one of the  
5 plurality of object oriented classes including state data that indicates a protected class;  
6 a catalog of allowed classes residing in the memory, the catalog of allowed classes  
7 including all classes that are authorized to access at least one protected class; and  
8 a state/domain checker residing in the memory and executed by the at least one  
9 processor, the state/domain checker performing a plurality of checks when each of the  
10 plurality of object oriented classes is loaded, the plurality of checks determining whether  
11 a class being loaded accesses the at least one protected class, and if so, determining  
12 whether the class being loaded is included in the catalog of allowed classes, and  
13 generating an exception if the class being loaded is not included in the catalog of allowed  
14 classes, wherein the state/domain checker further performs at least one runtime check  
15 when a method that may reference a dynamically defined class is invoked and when a  
16 function is invoked that could potentially access a method on one or more of the plurality  
17 of classes.
- 1 2. (Cancelled)

1 3. (Previously Presented) An apparatus comprising:  
2 at least one processor;  
3 a memory coupled to the at least one processor;  
4 a plurality of object oriented classes residing in the memory, at least one of the  
5 plurality of object oriented classes including state data that indicates a protected class;  
6 a state/domain checker residing in the memory and executed by the at least one  
7 processor, the state/domain checker performing a plurality of checks when each of the  
8 plurality of object oriented classes is loaded, the plurality of checks determining whether  
9 a class being loaded accesses at least one protected class, and if so, determining whether  
10 the class being loaded is authorized to access the at least one protected class, and  
11 generating an exception if the class being loaded is not authorized to access the at least  
12 one protected class, wherein the state/domain checker further performs at least one  
13 runtime check when a method that may reference a dynamically defined class is invoked  
14 and when a function is invoked that could potentially access a method on one or more of  
15 the plurality of classes, wherein the at least one runtime check includes a check to  
16 determine whether a Java reflection method is invoked by a referencing class on a  
17 referenced class, and if a Java reflection method is invoked by the referencing class, and  
18 if the referenced class implements a private domain interface, and if the referencing class  
19 does not implement a system state interface, generating an error.

1 4. (Previously Presented) An apparatus comprising:  
2 at least one processor;  
3 a memory coupled to the at least one processor;  
4 a plurality of object oriented classes residing in the memory, at least one of the  
5 plurality of object oriented classes including state data that indicates a protected class;  
6 a state/domain checker residing in the memory and executed by the at least one  
7 processor, the state/domain checker performing a plurality of checks when each of the  
8 plurality of object oriented classes is loaded, the plurality of checks determining whether  
9 a class being loaded accesses at least one protected class, and if so, determining whether  
10 the class being loaded is authorized to access the at least one protected class, and  
11 generating an exception if the class being loaded is not authorized to access the at least  
12 one protected class, wherein the state/domain checker further performs at least one  
13 runtime check when a method that may reference a dynamically defined class is invoked  
14 and when a function is invoked that could potentially access a method on one or more of  
15 the plurality of classes, wherein the at least one runtime check includes a check to  
16 determine whether a Java Native Interface (JNI) function is invoked by an external  
17 program to access a protected class, and if the external program invokes a JNI function to  
18 access a protected class, and the external program is not running in system state,  
19 generating an error.

1 5. (Original) The apparatus of claim 1 wherein a class is a protected class if the class is  
2 defined as a private domain class or a system state class.

1 6. (Previously Presented) The apparatus of claim 1 wherein the plurality of checks  
2 includes a check during class verification that determines whether a class being verified  
3 implements a private domain interface or a system state interface, and if the class being  
4 verified implements a private domain interface or a system state interface, and if the class  
5 being verified is not included in the catalog of allowed classes, generating an error.

1 7. (Previously Presented) The apparatus of claim 1 wherein the catalog of allowed  
2 classes is generated during a build process that packages the plurality of classes together  
3 into an installable form.

1 8. (Original) The apparatus of claim 1 wherein the plurality of checks includes a check  
2 during class preparation that determines whether a class being prepared has a superclass,  
3 and if the class being prepared has a superclass, and if the superclass implements a  
4 private domain interface or a system state interface, and if the class being prepared does  
5 not implement at least the same private domain interface or system state interface as the  
6 superclass, generating an error.

1 9. (Original) The apparatus of claim 1 wherein the plurality of checks includes a check  
2 during class resolution that determines whether a class being resolved to by a referencing  
3 class implements a private domain interface, and if the class being resolved to by the  
4 referencing class implements the private domain interface, and if the referencing class  
5 does not implement a system state interface, generating an error.

1 10. (Original) The apparatus of claim 9 wherein the check during class resolution is  
2 performed before runtime when a class is loaded.

1 11. (Original) The apparatus of claim 9 wherein the check during class resolution is  
2 performed at runtime when a method on the class being resolved to is invoked.

1 12. (Original) An apparatus comprising:  
2 at least one processor;  
3 a memory coupled to the at least one processor;  
4 a plurality of Java classes residing in the memory, at least one of the plurality of  
5 Java classes including state data that indicates a protected class;  
6 a Java Virtual Machine (JVM) residing in the memory and executed by the at least  
7 one processor;  
8 a state/domain checker residing in the memory and executed by the at least one  
9 processor, the state/domain checker performing the following checks:  
10 a first check during class verification that determines whether a class being  
11 verified implements a private domain interface or a system state interface, and if  
12 the class being verified implements a private domain interface or a system state  
13 interface, and if the class being verified is not included in a catalog of allowed  
14 classes that is generated during a JVM build process that packages the plurality of  
15 classes together into an installable form, throwing an exception;  
16 a second check during class preparation that determines whether a class  
17 being prepared has a superclass, and if the class being prepared has a superclass,  
18 and if the superclass implements a private domain interface or a system state  
19 interface, and if the class being prepared does not implement at least the same  
20 private domain interface or system state interface as the superclass, throwing an  
21 exception;  
22 a third check during class resolution that determines whether a class being  
23 resolved to by a referencing class implements a private domain interface, and if  
24 the class being resolved to by the referencing class implements the private domain  
25 interface, and if the referencing class does not implement a system state interface,  
26 throwing an exception;

(claim 12 continued)

27                   a fourth check to determine whether a Java reflection method is invoked  
28           by a referencing class on a referenced class, and if a Java reflection method is  
29           invoked by the referencing class, and if the referenced class implements a private  
30           domain interface, and if the referencing class does not implement a system state  
31           interface, throwing an exception; and

32                   a fifth check to determine whether a Java Native Interface (JNI) function is  
33           invoked by a program external to the JVM to access a protected class at runtime,  
34           and if the program invokes a JNI function to access a protected class, and the  
35           program is not running in system state, throwing an exception.

1 13. (Currently Amended) A method for creating and enforcing protected system level  
2 Java code comprising the steps of:  
3 providing a catalog of allowed classes that includes all classes that are authorized  
4 to access at least one protected class;  
5 loading a plurality of Java classes, each of the plurality of Java classes that is  
6 protected including state data that indicates a protected class; [[and]]  
7 performing a plurality of checks when each of the plurality of Java classes is  
8 loaded, the plurality of checks determining whether the class being loaded accesses at  
9 least one protected class, and if so, determining whether the class being loaded is  
10 included in the catalog of allowed classes, and generating an exception if the class being  
11 loaded is not included in the catalog of allowed classes; and  
12 performing at least one runtime check when a method that may reference a  
13 dynamically defined class is invoked and when a function is invoked that could  
14 potentially access a method on one or more of the plurality of classes.

1 14. (Cancelled)

1 15. (Previously Presented) A method for creating and enforcing protected system level  
2 Java code comprising the steps of:  
3 loading a plurality of Java classes, each of the plurality of Java classes that is  
4 protected including state data that indicates a protected class;  
5 performing a plurality of checks when each of the plurality of Java classes is  
6 loaded, the plurality of checks determining whether the class being loaded accesses at  
7 least one protected class, and if so, determining whether the class being loaded is  
8 authorized to access the at least one protected class, and generating an exception if the  
9 class being loaded is not authorized to access the at least one protected class,  
10 performing at least one runtime check when a method that may reference a  
11 dynamically defined class is invoked and when a function is invoked that could  
12 potentially access a method on one or more of the plurality of classes, wherein the at least  
13 one runtime check includes a check to determine whether a Java reflection method is  
14 invoked by a referencing class on a referenced class, and if a Java reflection method is  
15 invoked by the referencing class, and if the referenced class implements a private domain  
16 interface, and if the referencing class does not implement a system state interface,  
17 generating an error.



1 16. (Previously Presented) A method for creating and enforcing protected system level  
2 Java code comprising the steps of:  
3 loading a plurality of Java classes, each of the plurality of Java classes that is  
4 protected including state data that indicates a protected class;  
5 performing a plurality of checks when each of the plurality of Java classes is  
6 loaded, the plurality of checks determining whether the class being loaded accesses at  
7 least one protected class, and if so, determining whether the class being loaded is  
8 authorized to access the at least one protected class, and generating an exception if the  
9 class being loaded is not authorized to access the at least one protected class,  
10 performing at least one runtime check when a method that may reference a  
11 dynamically defined class is invoked and when a function is invoked that could  
12 potentially access a method on one or more of the plurality of classes, wherein the at least  
13 one runtime check includes a check to determine whether a Java Native Interface (JNI)  
14 function is invoked by an external program to access a protected class, and if the external  
15 program invokes a JNI function to access a protected class, and the program is not  
16 running in system state, generating an error.

1 17. (Original) The method of claim 13 wherein a class is a protected class if the class is  
2 defined as a private domain class or a system state class.

1 18. (Previously Presented) The method of claim 13 wherein the plurality of checks  
2 includes a check during class verification that determines whether a class being verified  
3 implements a private domain interface or a system state interface, and if the class being  
4 verified implements a private domain interface or a system state interface, and if the class  
5 being verified is not included in the catalog of allowed classes, generating an error.

1 19. (Previously Presented) The method of claim 13 wherein the catalog of allowed classes  
2 is generated during a JVM build process that packages the plurality of classes together  
3 into an installable form.

1 20. (Original) The method of claim 13 wherein the plurality of checks includes a check  
2 during class preparation that determines whether a class being prepared has a superclass,  
3 and if the class being prepared has a superclass, and if the superclass implements a  
4 private domain interface or a system state interface, and if the class being prepared does  
5 not implement at least the same private domain interface or system state interface as the  
6 superclass, generating an error.

1 21. (Original) The method of claim 13 wherein the plurality of checks includes a check  
2 during class resolution that determines whether a class being resolved to by a referencing  
3 class implements a private domain interface, and if the class being resolved to by the  
4 referencing class implements the private domain interface, and if the referencing class  
5 does not implement a system state interface, generating an error.

1 22. (Original) The method of claim 21 wherein the check during class resolution is  
2 performed before runtime when a class is loaded by a Java Virtual Machine (JVM).

1 23. (Original) The apparatus of claim 21 wherein the check during class resolution is  
2 performed at runtime when a method on the class being resolved to is invoked.

1 24. (Original) A method for creating and enforcing protected system level Java code  
2 comprising the steps of:  
3       running a Java Virtual Machine (JVM);  
4       the JVM loading a plurality of Java classes, each of the plurality of Java classes  
5 that is protected including state data that indicates a protected class;  
6       performing a first check during class verification that determines whether a class  
7 being verified implements a private domain interface or a system state interface, and if  
8 the class being verified implements a private domain interface or a system state interface,  
9 and if the class being verified is not included in a catalog of allowed classes that is  
10 generated during a JVM build process that packages the plurality of classes together into  
11 an installable form, throwing an exception;  
12       performing a second check during class preparation that determines whether a  
13 class being prepared has a superclass, and if the class being prepared has a superclass, and  
14 if the superclass implements a private domain interface or a system state interface, and if  
15 the class being prepared does not implement at least the same private domain interface or  
16 system state interface as the superclass, throwing an exception;  
17       performing a third check during class resolution that determines whether a class  
18 being resolved to by a referencing class implements a private domain interface, and if the  
19 class being resolved to by the referencing class implements the private domain interface,  
20 and if the referencing class does not implement a system state interface, throwing an  
21 exception;  
22       performing a fourth check to determine whether a Java reflection method is  
23 invoked by a referencing class on a referenced class at runtime, and if a Java reflection  
24 method is invoked by the referencing class, and if the referenced class implements a  
25 private domain interface, and if the referencing class does not implement a system state  
26 interface, throwing an exception; and

(claim 24 continued)

27 performing a fifth check to determine whether a Java Native Interface (JNI)  
28 function is invoked by a program external to the JVM to access a protected class at  
29 runtime, and if the program invokes a JNI function to access a protected class, and the  
30 program is not running in system state, throwing an exception.

1 25. (Currently Amended) A method for building a computer program that includes system  
2 level code, the method comprising the steps of:  
3 generating Java source code for a plurality of object oriented classes, each of the  
4 plurality of object oriented classes that is protected including state data defined in the  
5 Java source code that indicates a protected class;  
6 identifying from the Java source code for each object oriented class which of the  
7 plurality of object oriented classes are protected;  
8 compiling the Java source code for the plurality of object oriented classes, thereby  
9 creating a plurality of class files that correspond to the plurality of object oriented classes;  
10 creating a catalog of allowable classes, the catalog including all protected classes;  
11 compiling source code for a Java Virtual Machine (JVM) to produce an  
12 executable JVM;  
13 creating installable media that includes the executable JVM, the catalog of  
14 allowable classes, and the class files; and  
15 performing at least one runtime check when a method that may reference a  
16 dynamically defined class is invoked and when a function is invoked that could  
17 potentially access a method on one or more of the plurality of object oriented classes.

1 26. (Currently Amended) A computer-readable program product for checking a class  
2 being loaded, the program product comprising:  
3 a state/domain checker that performs a plurality of checks when each of a plurality  
4 of object oriented classes is loaded, the plurality of checks determining whether a class  
5 being loaded accesses at least one protected class, and if so, determining whether the  
6 class being loaded is included in a catalog of allowed classes that includes all classes that  
7 are authorized to access at least one protected class, and generating an exception if the  
8 class being loaded is not included in the catalog of allowed classes, wherein the  
9 state/domain checker further performs at least one runtime check when a method that may  
10 reference a dynamically defined class is invoked and when a function is invoked that  
11 could potentially access a method on one or more of the plurality of classes; and  
12 computer readable signal bearing media bearing the state/domain checker.

1 27. (Original) The program product of claim 26 wherein said signal bearing media  
2 comprises recordable media.

1 28. (Original) The program product of claim 26 wherein said signal bearing media  
2 comprises transmission media.

1 29. (Cancelled)

1 30. (Currently Amended) A computer-readable program product for checking a class  
2 being loaded, the program product comprising:  
3 a state/domain checker that performs a plurality of checks when each of a plurality  
4 of object oriented classes is loaded, the plurality of checks determining whether a class  
5 being loaded accesses at least one protected class, and if so, determining whether the  
6 class being loaded is authorized to access the at least one protected class, and generating  
7 an exception if the class being loaded is not authorized to access the at least one protected  
8 class, wherein the state/domain checker further performs at least one runtime check when  
9 a method that may reference a dynamically defined class is invoked and when a function  
10 is invoked that could potentially access a method on one or more of the plurality of  
11 classes, wherein the at least one runtime check includes a check to determine whether a  
12 Java reflection method is invoked by a referencing class on a referenced class, and if a  
13 Java reflection method is invoked by the referencing class, and if the referenced class  
14 implements a private domain interface, and if the referencing class does not implement a  
15 system state interface, generating an error; and  
16 computer readable signal bearing media bearing the state/domain checker.

1 31. (Currently Amended) A computer-readable program product for checking a class  
2 being loaded, the program product comprising:  
3 a state/domain checker that performs a plurality of checks when each of a plurality  
4 of object oriented classes is loaded, the plurality of checks determining whether a class  
5 being loaded accesses at least one protected class, and if so, determining whether the  
6 class being loaded is authorized to access the at least one protected class, and generating  
7 an exception if the class being loaded is not authorized to access the at least one protected  
8 class, wherein the state/domain checker further performs at least one runtime check when  
9 a method that may reference a dynamically defined class is invoked and when a function  
10 is invoked that could potentially access a method on one or more of the plurality of  
11 classes, wherein the at least one runtime check includes a check to determine whether a  
12 Java Native Interface (JNI) function is invoked by an external program to access a  
13 protected class, and if the external program invokes a JNI function to access a protected  
14 class, and the external program is not running in system state, generating an error; and  
15 computer readable signal bearing media bearing the state/domain checker.

1 32. (Original) The program product of claim 26 wherein a class is a protected class if the  
2 class is defined as a private domain class or a system state class.

1 33. (Previously Presented) The program product of claim 26 wherein the plurality of  
2 checks includes a check during class verification that determines whether a class being  
3 verified implements a private domain interface or a system state interface, and if the class  
4 being verified implements a private domain interface or a system state interface, and if  
5 the class being verified is not included in the catalog of allowed classes, generating an  
6 error.

1 34. (Previously Presented) The program product of claim 26 wherein the catalog of  
2 allowed classes is generated during a build process that packages the plurality of classes  
3 together into an installable form.

1 35. (Original) The program product of claim 26 wherein the plurality of checks includes a  
2 check during class preparation that determines whether a class being prepared has a  
3 superclass, and if the class being prepared has a superclass, and if the superclass  
4 implements a private domain interface or a system state interface, and if the class being  
5 prepared does not implement at least the same private domain interface or system state  
6 interface as the superclass, generating an error.

1 36. (Original) The program product of claim 26 wherein the plurality of checks includes a  
2 check during class resolution that determines whether a class being resolved to by a  
3 referencing class implements a private domain interface, and if the class being resolved to  
4 by the referencing class implements the private domain interface, and if the referencing  
5 class does not implement a system state interface, generating an error.

1 37. (Original) The program product of claim 36 wherein the check during class resolution  
2 is performed before runtime when a class is loaded.

1 38. (Original) The program product of claim 36 wherein the check during class resolution  
2 is performed at runtime when a method on the class being resolved to is invoked.



1 39. (Currently Amended) A computer-readable program product for checking a class  
2 being loaded, the program product comprising:  
3 (A) a plurality of Java classes, at least one of the plurality of Java classes  
4 including state data that indicates a protected class;  
5 (B) a Java Virtual Machine (JVM) executable program;  
6 (C) a state/domain checker that performs the following checks:  
7 (C1) a first check during class verification that determines whether a class  
8 being verified implements a private domain interface or a system state interface,  
9 and if the class being verified implements a private domain interface or a system  
10 state interface, and if the class being verified is not included in a catalog of  
11 allowed classes that is generated during a JVM build process that packages the  
12 plurality of classes together into an installable form, throwing an exception;  
13 (C2) a second check during class preparation that determines whether a  
14 class being prepared has a superclass, and if the class being prepared has a  
15 superclass, and if the superclass implements a private domain interface or a  
16 system state interface, and if the class being prepared does not implement at least  
17 the same private domain interface or system state interface as the superclass,  
18 throwing an exception;  
19 (C3) a third check during class resolution that determines whether a class  
20 being resolved to by a referencing class implements a private domain interface,  
21 and if the class being resolved to by the referencing class implements the private  
22 domain interface, and if the referencing class does not implement a system state  
23 interface, throwing an exception;  
24 (C4) a fourth check to determine whether a Java reflection method is  
25 invoked by a referencing class on a referenced class at runtime, and if a Java  
26 reflection method is invoked by the referencing class, and if the referenced class  
27 implements a private domain interface, and if the referencing class does not  
28 implement a system state interface, throwing an exception;

(claim 39 continued)

28 (C5) a fifth check to determine whether a Java Native Interface (JNI)  
29 function is invoked by a program external to the JVM to access a protected class  
30 at runtime, and if the program invokes a JNI function to access a protected class,  
31 and the program is not running in system state, throwing an exception; and  
32 (D) computer readable signal bearing media bearing the plurality of Java classes,  
33 the JVM executable program, and the state/domain checker.

1 40. (Original) The program product of claim 39 wherein said signal bearing media  
2 comprises recordable media.

1 41. (Original) The program product of claim 39 wherein said signal bearing media  
2 comprises transmission media.

Please add the following new claims.

1 42. (New) The program product of claim 30 wherein said signal bearing media comprises  
2 recordable media.

1 43. (New) The program product of claim 30 wherein said signal bearing media comprises  
2 transmission media.

1 44. (New) The program product of claim 31 wherein said signal bearing media comprises  
2 recordable media.

1 45. (New) The program product of claim 31 wherein said signal bearing media comprises  
2 transmission media.

## **STATUS OF THE CLAIMS**

Claims 1-41 were originally filed in this patent application. In response to the first office action dated 9/11/03, an amendment was filed on 12/10/03 that amended the specification, but did not amend any of the claims as originally filed. In response to the second office action dated 03/25/04, an amendment was filed on 06/25/04 that amended claims 1, 3, 4, 6, 7, 13, 15, 16, 18, 19, 26, 30, 32, 33 and 34. In the pending final office action, claims 26-41 were rejected under 35 U.S.C. §101 as being directed to non-statutory subject matter. Claims 1, 5-7, 13, 17-19, 25-28 and 32-34 were rejected under 35 U.S.C. §103(a) as being unpatentable over U.S. Patent No. 6,714,991 to Bak *et al.* (hereinafter “Bak”) in view of U.S. Patent No. 6,601,114 to Bracha *et al.* (hereinafter “Bracha”). Claims 2, 8-11, 14, 20-23, 29 and 35-38 were objected to as depending upon a rejected base claim, but would be allowable if properly rewritten in independent form. Claims 3, 4, 12, 15, 16 and 24 were allowed. In this amendment, claims 2, 14 and 29 have been cancelled, claims 1, 13, 25, 26, 30, 31 and 39 have been amended, and new claims 42-45 have been added. Claims 1, 3-13, 15-28 and 30-45 are currently pending.